



## FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

LIST OF PRIOR ART CITED BY APPLICANT

RECEIVED

DOCKET NO : 6311-11      SERIAL NO : 09/597,881  
 APPLICANT : Rademacher et al.      EXAMINER : Not assigned  
 FILING DATE : June 20, 2000      GROUP ART UNIT 2766

JAN 18 2001

Technology Center 2100

U.S. PATENT DOCUMENTS

RECEIVED

FEB 21 2001

Technology Center 2100

	<u>*EXAMINER'S INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>DATE</u>
FP	CB	6,018,721			1/25/00
FP	CB	6,021,402			2/1/00

FOREIGN PATENT DOCUMENTS

	<u>*EXAMINER'S INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>TRANSLATION</u>
FP	CB	WO 98/53417	11/26/98	PCT	G06F	19/40	YES

/Frantzy Poinvil /

7/10/2009

/Frantzy Poinvil /

2/19/2009

JAN 16 2001

## OTHER PRIOR ART

(INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

RECEIVED

\*EXAMINER

JAN 18 2001

Technology Center 2100

FP The Bond Market Association et al, "Cross-Product Master Agreement" and "Cross-Product Master Agreement Guidance Notes", February 16, 2000.

FP Davis, Warren N., "ISDA Master Agreements – When Reciprocal is Neither Wise Nor Fair", Futures Derivatives Law Report, Vol. 19, No. 11, February 2000, pages 7-11.

FP International Swaps And Derivatives Association, "ISDA 1999 Collateral Review", section 2.9.

FP International Swaps And Derivatives Association, "ISDA Guidelines For Collateral Practitioners", pages 1-9.

FP Warburg Dillon Read, "Collateral Management Initiative Workshop", London, April 27, 1999.

INITIALS

/Frantzy Poinvil/

7/10/2009

EXAMINER:

DATE CONSIDERED: 7/12/03

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

/Frantzy Poinvil/

2/19/2009